

Cryptography and Network Security

Sixth Edition by William Stallings



Chapter 1

Overview

"The combination of space, time, and strength that must be considered as the basic elements of this theory of defense makes this a fairly complicated matter. Consequently, it is not easy to find a fixed point of departure."

— On War,

**Carl Von Clausewitz** 

### Cryptographic algorithms and protocols can be grouped into four main areas:

#### Symmetric encryption

• Used to conceal the contents of blocks or streams of data of any size, including messages, files, encryption keys, and passwords

#### Asymmetric encryption

• Used to conceal small blocks of data, such as encryption keys and hash function values, which are used in digital signatures

#### Data integrity algorithms

• Used to protect blocks of data, such as messages, from alteration

#### Authentication protocols

• Schemes based on the use of cryptographic algorithms designed to authenticate the identity of entities

### The field of network and Internet security consists of:

measures to deter, prevent, detect, and correct security violations that involve the transmission of information

### **Computer Security**

 The NIST Computer Security Handbook defines the term computer security as:

> "the protection afforded to an automated information system in order to attain the applicable objectives of preserving the integrity, availability and confidentiality of information system resources" (includes hardware, software, firmware, information/ data, and telecommunications)

# **Computer Security Objectives**

### Confidentiality

- Data confidentiality
  - Assures that private or confidential information is not made available or disclosed to unauthorized individuals
- Privacy
  - Assures that individuals control or influence what information related to them may be collected and stored and by whom and to whom that information may be disclosed

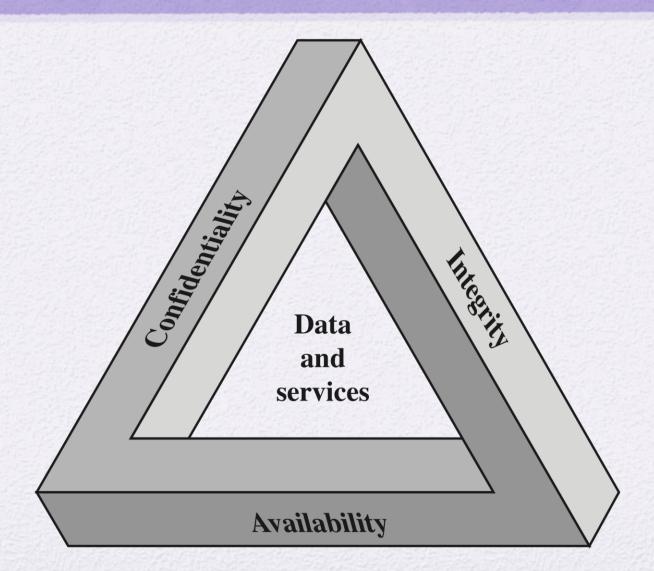
### Integrity

- Data integrity
  - Assures that information and programs are changed only in a specified and authorized manner
- System integrity
  - Assures that a system performs its intended function in an unimpaired manner, free from deliberate or inadvertent unauthorized manipulation of the system

### Availability

 Assures that systems work promptly and service is not denied to authorized users

## **CIA** Triad



### Possible additional concepts:

### Authenticity

 Verifying that users are who they say they are and that each input arriving at the system came from a trusted source

### Accountability

 The security goal that generates the requirement for actions of an entity to be traced uniquely to that entity

# Breach of Security Levels of Impact

• The loss could be expected to have a severe or catastrophic adverse effect on organizational operations, organizational assets, or individuals

### Moderate

Low

High

 The loss could be expected to have a serious adverse effect on organizational operations, organizational assets, or individuals

> The loss could be expected to have a limited adverse effect on organizational operations, organizational assets, or individuals

## **Computer Security Challenges**

- Security is not simple
- Potential attacks on the security features need to be considered
- Procedures used to provide particular services are often counter-intuitive
- It is necessary to decide where to use the various security mechanisms
- Requires constant monitoring
- Is too often an afterthought

- Security mechanisms typically involve more than a particular algorithm or protocol
- Security is essentially a battle of wits between a perpetrator and the designer
- Little benefit from security investment is perceived until a security failure occurs
- Strong security is often viewed as an impediment to efficient and user-friendly operation

# **OSI Security Architecture**

### • Security attack

• Any action that compromises the security of information owned by an organization

### Security mechanism

 A process (or a device incorporating such a process) that is designed to detect, prevent, or recover from a security attack

### Security service

- A processing or communication service that enhances the security of the data processing systems and the information transfers of an organization
- Intended to counter security attacks, and they make use of one or more security mechanisms to provide the service

# Table 1.1 Threats and Attacks (RFC 4949)



#### Threat

A potential for violation of security, which exists when there is a circumstance, capability, action, or event that could breach security and cause harm. That is, a threat is a possible danger that might exploit a vulnerability.

#### Attack

An assault on system security that derives from an intelligent threat; that is, an intelligent act that is a deliberate attempt (especially in the sense of a method or technique) to evade security services and violate the security policy of a system.

### Security Attacks

•A means of classifying security attacks, used both in X.800 and RFC 4949, is in terms of *passive attacks* and *active attacks* 

•A passive attack attempts to learn or make use of information from the system but does not affect system resources

•An active attack attempts to alter system resources or affect their operation

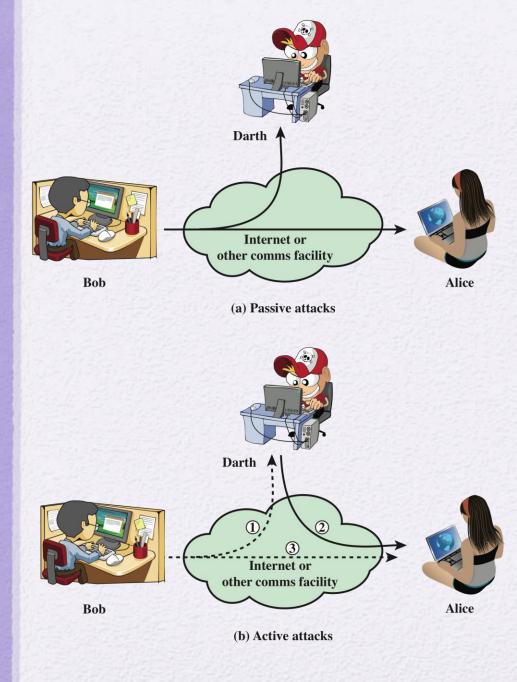


Figure 1.1 Security Attacks

### **Passive Attacks**

• Are in the nature of eavesdropping on, or monitoring of, transmissions

 Goal of the opponent is to obtain information that is being transmitted



- Two types of passive attacks are:
  - The release of message contents
  - Traffic analysis

## **Active Attacks**

Involve some modification of the • Takes place when one entity data stream or the creation of a pretends to be a different entity Masquerade false stream • Usually includes one of the other forms of active attack Difficult to prevent because of • the wide variety of potential Involves the passive capture of a physical, software, and network data unit and its subsequent Replay retransmission to produce an vulnerabilities unauthorized effect Goal is to detect attacks and to • recover from any disruption or Some portion of a legitimate Modification message is altered, or messages are delays caused by them delayed or reordered to produce an of messages unauthorized effect Prevents or inhibits the normal use Denial of or management of communications service facilities

## **Security Services**

### Defined by X.800 as:

• A service provided by a protocol layer of communicating open systems and that ensures adequate security of the systems or of data transfers

### Defined by RFC 4949 as:

 A processing or communication service provided by a system to give a specific kind of protection to system resources

# X.800 Service Categories

- Authentication
- Access control
- Data confidentiality
- Data integrity
- Nonrepudiation



## Authentication

- Concerned with assuring that a communication is authentic
  - In the case of a single message, assures the recipient that the message is from the source that it claims to be from
  - In the case of ongoing interaction, assures the two entities are authentic and that the connection is not interfered with in such a way that a third party can masquerade as one of the two legitimate parties

#### Two specific authentication services are defined in X.800:

- Peer entity authentication
- Data origin authentication

## Access Control

- The ability to limit and control the access to host systems and applications via communications links
- To achieve this, each entity trying to gain access must first be indentified, or authenticated, so that access rights can be tailored to the individual



## Data Confidentiality

- The protection of transmitted data from passive attacks
  - Broadest service protects all user data transmitted between two users over a period of time
  - Narrower forms of service includes the protection of a single message or even specific fields within a message
- The protection of traffic flow from analysis
  - This requires that an attacker not be able to observe the source and destination, frequency, length, or other characteristics of the traffic on a communications facility

# Data Integrity

Can apply to a stream of messages, a single message, or selected fields within a message

Connection-oriented integrity service, one that deals with a stream of messages, assures that messages are received as sent with no duplication, insertion, modification, reordering, or replays

A connectionless integrity service, one that deals with individual messages without regard to any larger context, generally provides protection against message modification only

## Nonrepudiation

- Prevents either sender or receiver from denying a transmitted message
- When a message is sent, the receiver can prove that the alleged sender in fact sent the message
- When a message is received, the sender can prove that the alleged receiver in fact received the message

#### AUTHENTICATION

The assurance that the communicating entity is the one that it claims to be.

#### **Peer Entity Authentication**

Used in association with a logical connection to provide confidence in the identity of the entities connected.

#### **Data-Origin Authentication**

In a connectionless transfer, provides assurance that the source of received data is as claimed.

#### ACCESS CONTROL

The prevention of unauthorized use of a resource (i.e., this service controls who can have access to a resource, under what conditions access can occur, and what those accessing the resource are allowed to do).

#### DATA CONFIDENTIALITY

The protection of data from unauthorized disclosure.

#### **Connection Confidentiality**

The protection of all user data on a connection.

#### **Connectionless Confidentiality**

The protection of all user data in a single data block

#### Selective-Field Confidentiality

The confidentiality of selected fields within the user data on a connection or in a single data block.

#### **Traffic-Flow Confidentiality**

The protection of the information that might be derived from observation of traffic flows.

#### DATA INTEGRITY

The assurance that data received are exactly as sent by an authorized entity (i.e., contain no modification, insertion, deletion, or replay).

#### **Connection Integrity with Recovery**

Provides for the integrity of all user data on a connection and detects any modification, insertion, deletion, or replay of any data within an entire data sequence, with recovery attempted.

#### **Connection Integrity without Recovery**

As above, but provides only detection without recovery.

#### Selective-Field Connection Integrity

Provides for the integrity of selected fields within the user data of a data block transferred over a connection and takes the form of determination of whether the selected fields have been modified, inserted, deleted, or replayed.

#### **Connectionless Integrity**

Provides for the integrity of a single connectionless data block and may take the form of detection of data modification. Additionally, a limited form of replay detection may be provided.

#### Selective-Field Connectionless Integrity

Provides for the integrity of selected fields within a single connectionless data block; takes the form of determination of whether the selected fields have been modified.

#### NONREPUDIATION

Provides protection against denial by one of the entities involved in a communication of having participated in all or part of the communication.

#### Nonrepudiation, Origin

Proof that the message was sent by the specified party.

#### Nonrepudiation, Destination

Proof that the message was received by the specified party.

### Table 1.2

Security Services (X.800)

### (This table is found on page 18 in textbook)

# Security Mechanisms (X.800)

#### **Specific Security Mechanisms**

- Encipherment
- Digital signatures
- Access controls
- Data integrity
- Authentication exchange
- Traffic padding
- Routing control
- Notarization

#### Pervasive Security Mechanisms

- Trusted functionality
- Security labels
- Event detection
- Security audit trails
- Security recovery

#### SPECIFIC SECURITY MECHANISMS

May be incorporated into the appropriate protocol layer in order to provide some of the OSI security services.

#### Encipherment

The use of mathematical algorithms to transform data into a form that is not readily intelligible. The transformation and subsequent recovery of the data depend on an algorithm and zero or more encryption keys.

#### **Digital Signature**

Data appended to, or a cryptographic transformation of, a data unit that allows a recipient of the data unit to prove the source and integrity of the data unit and protect against forgery (e.g., by the recipient).

#### Access Control

A variety of mechanisms that enforce access rights to resources.

#### **Data Integrity**

A variety of mechanisms used to assure the integrity of a data unit or stream of data units.

#### Authentication Exchange

A mechanism intended to ensure the identity of an entity by means of information exchange.

#### **Traffic Padding**

The insertion of bits into gaps in a data stream to frustrate traffic analysis attempts.

#### **Routing Control**

Enables selection of particular physically secure routes for certain data and allows routing changes, especially when a breach of security is suspected.

#### Notarization

The use of a trusted third party to assure certain properties of a data exchange.

#### PERVASIVE SECURITY MECHANISMS

Mechanisms that are not specific to any particular OSI security service or protocol layer.

#### **Trusted Functionality**

That which is perceived to be correct with respect to some criteria (e.g., as established by a security policy).

#### Security Label

The marking bound to a resource (which may be a data unit) that names or designates the security attributes of that resource.

#### **Event Detection**

Detection of security-relevant events.

#### Security Audit Trail

Data collected and potentially used to facilitate a security audit, which is an independent review and examination of system records and activities.

#### Security Recovery

Deals with requests from mechanisms, such as event handling and management functions, and takes recovery actions.

### Table 1.3

Security Mechanisms (X.800)

### (This table is found on pages 20-21 in textbook)

### Model for Network Security

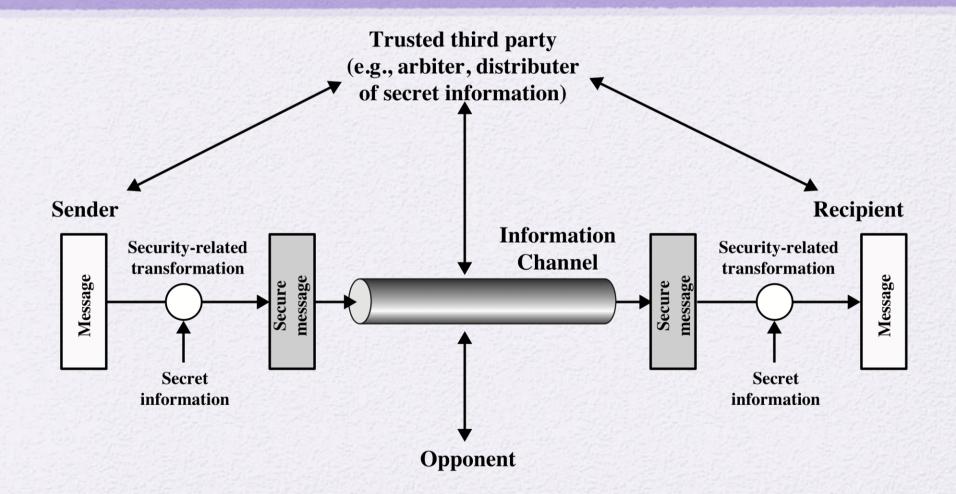
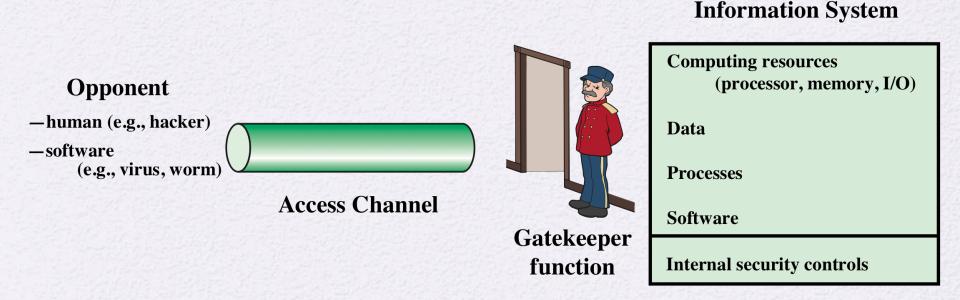


Figure 1.2 Model for Network Security

# Network Access Security Model



**Figure 1.3 Network Access Security Model** 

## **Unwanted Access**

- Placement in a computer system of logic that exploits vulnerabilities in the system and that can affect application programs as well as utility programs such as editors and compilers
- Programs can present two kinds of threats:
  - Information access threats
    - Intercept or modify data on behalf of users who should not have access to that data
  - Service threats
    - Exploit service flaws in computers to inhibit use by legitimate users



# Summary

- Computer security concepts
  - Definition
  - Examples
  - Challenges
- The OSI security architecture
- Security attacks
  - Passive attacks
  - Active attacks



- Security services
  - Authentication
  - Access control
  - Data confidentiality
  - Data integrity
  - Nonrepudiation
  - Availability service
- Security mechanisms