# Cracking the Enigma:

the Secret Battlefield of WW2



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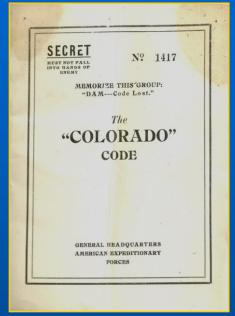
### WW1 - first time radio was used in war

WW1 US Army portable radio station in Germany



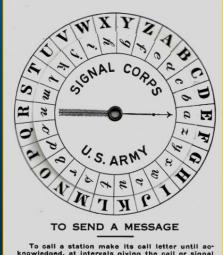
Photo credit: US Army

**US Army Code Book** 1918



**US Army** Vigenère Disk 1912

- Radio radically transformed battlefield strategy, but the enemy can now intercept all messages
- Cipher technology was not up to the task
- Ciphers were manual, error-prone, 450 years old... and all were broken!



knowledged, at intervals giving the call or signal

is unknown, signal · - at intervals followed by the call or signal of the calling station until acknow-

"front." If the sender discovers that he has made an error, he should make "A A front," after which he begins the word in which the error occu

### Birth of crypto warfare

- Explosion of new cipher technology during WW1:
  - One-time teletype tape
  - Cipher wheel
  - Strip cipher
  - Burst encoder
  - 4 electro-mechanical rotor machines:

Edward Hebern USA 1917



Photo credit: Ralph Simpson, device at NCM. Ft. Meade. MD

Arthur Scherbius
Germany
1918

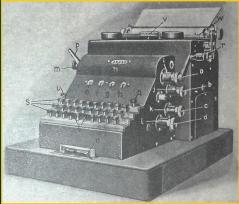


Photo credit: 1923 book, <u>Technit, neue</u> Apparate, Maschinen, Bauwerte

Hugo Koch Holland 1919

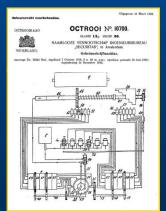


Photo credit: Bureau voor Industriele Eigendom

Arvid Damm Sweden 1919



Photo credit: Austin Mills, device in NCM, Ft. Meade, MD

### **Enigma invention - the classic story**



Arthur Scherbius Germany (1878-1929)

Hugo Koch Holland (1870-1928)



Photo credit: Koch family

- Scherbius/Koch collaborated on Enigma, filed separate patents
- German Navy began testing Scherbius Enigma in 1926
- In 1927, Scherbius "curiously" bought the rights to Koch's patent, paid 600 Dutch guilders (~\$350)
- "Curious" because Scherbius owned the identical German patent
- Koch died in 1928; Scherbius in 1929 in a horse carriage accident
- Neither knew the role their invention would have in history

Photo credit:

Scherbius family

### History rewritten in 2003

#### Theo van Hengel (1875-1939)

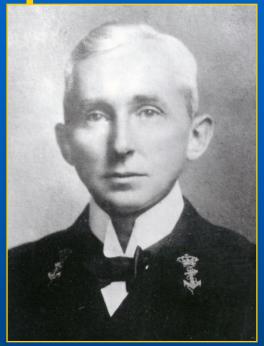


Photo credit: Instituut voor Maritieme Historie, Den Haag

- 2003 bombshell: two Dutch naval officers invented the rotor cipher in 1915
- Patent attorney hired, but Dutch Navy suppressed patent during WW1
- Nov. 1919, Dutch Navy allowed naval officers patent, but Koch filed his patent 3 weeks earlier

Rudolf P.C. Spengler (1875-1955)



Photo credit: Spengler family

- Naval officers filed lawsuit against Koch, but lost...
  - They didn't know their patent attorney was Koch's brother-in-law!
  - Judge was ex-Navy Minister who suppressed the patent in WW1!
- Now van Hengel and Spengler are recognized as the true inventors of the rotor cipher and the Enigma machine

### Dutch and German patents are exact copies

## Dutch patent NL10700 filed 10/7/1919

- Dutch patent never built
- German patent was early version of Enigma
- Scherbius bought Dutch patent on 1/28/1927

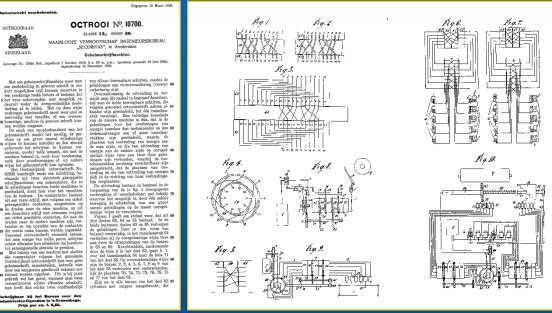


Photo credit: Bureau voor Industriele Eigendom

# **German patent DE425147 filed 9/26/1920**



Klanchrift in kürzester Zeit so in eine Aneimaderreihung von Buchstaben oder Zeichen verwandeln, daß es nicht möglich ist, daraus die unsprüngliche Klanschrift su emitteln bie so chiffrierte Schrift soll wiederum schnell und einfach durch dieselbe oder eine ähnliche Maschine in die ursprüngliche Klarschrift sturkeiverwandelt werden können.

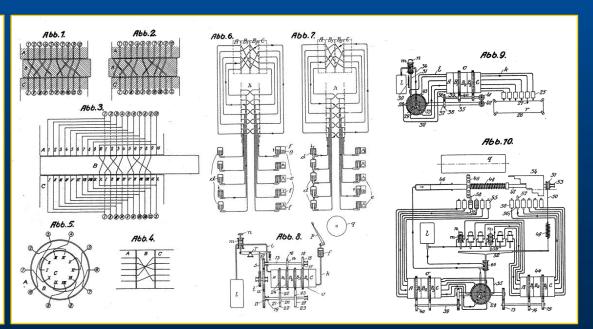
nivexversations, etc. Möblscheite der Geheinschrift bedügt eine hohe Zahl von willkirtlichen Einstellunglichkeiten der Maschine und eine Veränderung des Schlüssels während des Schreibers, damit auch der Kenner der Maschine nicht in der Lage ist, aus der Geheimschrift die Klarschrift zu errechnen, auzuppobleren oder sonstwie zu ermittelte.

blete Belangiger in der Schaffe in d

einigen Bewegung den Ainschuld von einer größeren Annahl von Rehranschlüssen vollkommen und in der unregelmäßigeren Weise zu vertauschen. Die Abhäldung stellt ein Rohrsystem dar, das aus drei Teilen A. B und C besteht. In den beiden äußeren Teilen A und C sind die Rohre parallel, in B verbinden dieselben in beliebtigter Weite die Mündungen der

Ort 4 auf A. so fillert der Weg über das Zwischesstick B zum Ort II auf C. In analysisches Auf L. S. analysisches Auf A. die Orte 1. Auf C. In analysisch B zum A. die Orte 1. V. II. IV. VII. X. VII. yuf 1 auf C. Sind uns vor allen fohren des Sühker auf C. Sind uns vor allen fohren des Sühker Angeles bei Sind Sind von Verallen bei Sind Verallen bei Sind

Zum Dechiffrieren brauchen die Rohrwege in Abb. 1 nur in der Weise an die Ventile und Kolben angeschlossen zu werden, daß beide miteinander vertauscht werden. Aus der Zahl 4 70



### Birth of crypto warfare

Explosion of new cipher technology during WW1: UPDATED BY 2003 REVELATIONS

- One-time teletype tape
- Cipher wheel
- Strip cipher
- **Burst encoder**
- Now 3 electro-mechanical rotor machines:

Theo van Hengel Rudolf P.C. Spengler Holland 1915

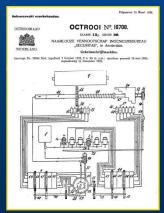


Photo credit: Bureau voor Industriele Eigendom

**Edward Hebern** USA 1917



Photo credit: Ralph Simpson, device in NCM. Ft. Meade. MD

**Arvid Damm Sweden** 1919



Photo credit: Austin Mills, device in NCM, Ft. Meade, MD

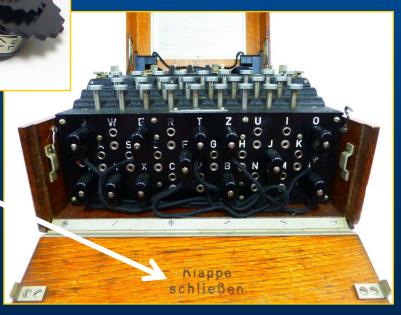
### **Enigma machine**



Enigma means
puzzle or mystery
in German & most
European languages

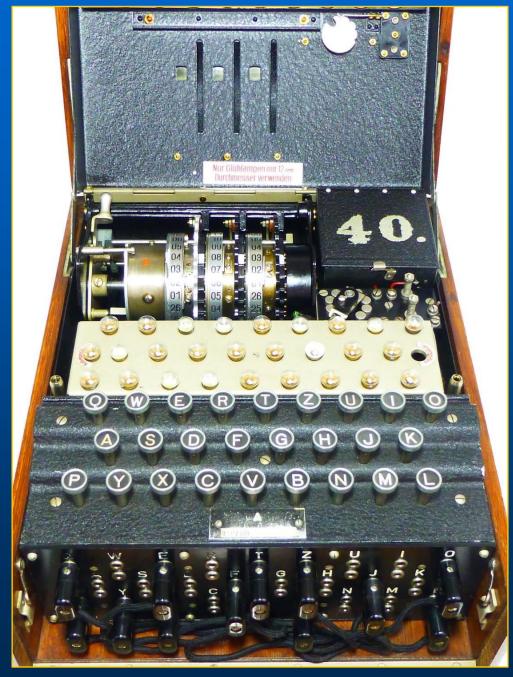
klappe schließen

close the flap



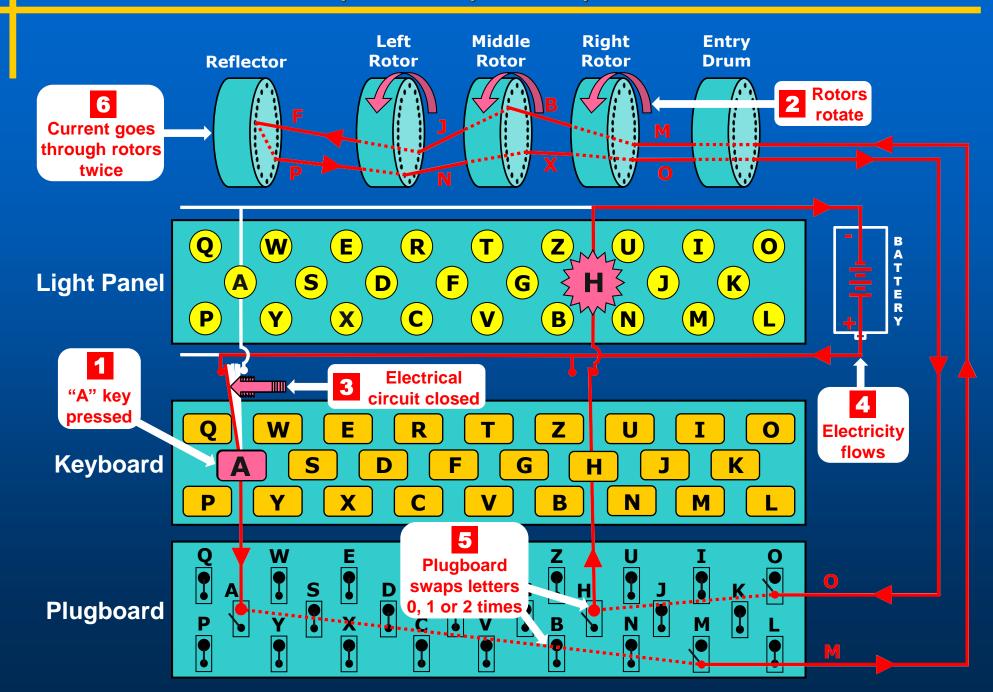
### **Enigma machine - under the covers**

- Typewriter style cipher machine, with light bulbs instead of printer
- Electro-mechanical rotors was the key innovation
- Rotors turn odometer style, so every letter in a message uses a different algorithm
- Reflector gives reciprocal encryption/decryption
- German military added plugboard



### **Enigma wiring - animated!**

example: "A" enciphers / deciphers to "H"



### Cryptographic strength of Enigma

- Theoretical maximum # of
   Enigma settings is 3 X 10<sup>114</sup>
   (# atoms in universe = 10<sup>80</sup>)
- If an enemy captures the Enigma, the # settings is still astronomical - 10<sup>23</sup>
- 10<sup>23</sup> is equal to a 76 bit key, far better than the 56 bit DES standard, used until 2001
- A 76 bit key means:

Webb Space Telescope view of cartwheel and spiral galaxies

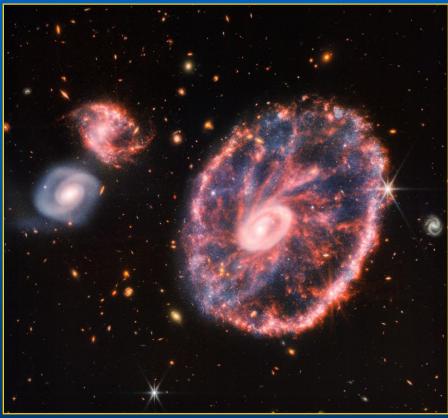


Photo credit: NASA, ESA, CSA, STScI

If 100,000 Enigma operators could each check one key setting every second, 24X7...

It would take twice the age of the universe to break the code!

### **Enigma Weaknesses**



Photo credit: Deutsches Bundesarchiv, colored by Lopatin V.

- 1. Greatest vulnerability was lax operator procedures
- 2. Reflector was reciprocal, so no letter encoded to itself
- 3. Rotors had regular, odometer movement
- 4. Ironically, brute strength of the Enigma gave Germans too much confidence in its security

Panzer General Heinz Guderian on communications truck with Enigma (1940)

### Poland was first to break Enigma

Marian Rejewski (1905-1980), in UK c.1943/44



Photo credit: Public domain, unknown photographer

- In 1932, German spy Hans-Thilo Schmidt sold Enigma keys to Allies
- Marian Rejewski used mathematics to recreate & break Enigma, in Dec. 1932
- Breakthrough was breaking of rotors and plugboard separately, so now...
  - 100,000 operators can break Enigma in 2 hours vs "twice age of universe"!
- Poles made "Bomba," 6 Enigmas in series, to quickly break daily key
   (Bomba = Eureka in Polish)
- Polish codebreaking success kept secret for 7 years
- Poles finally disclosed Enigma secrets to UK and France just 5 weeks before Germany invaded Poland on Sept. 1, 1939

### British effort in breaking the Enigma

#### **Bletchley Park Mansion**

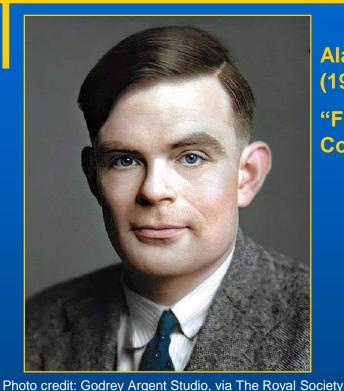


Photo credit: Standardissuemagazine.com

- In 1939, UK began a major decoding effort at Bletchley Park, employing 11,000
- Effort led by Alan Turing, who built the Bombe: 36 Enigmas in series to find possible rotor settings
- After the Bombe found rotor settings, plugboard cables were solved manually

Cracking the Enigma

### Bombe - the beginning of computing



Alan Turing (1912-1954) "Father of Computing"



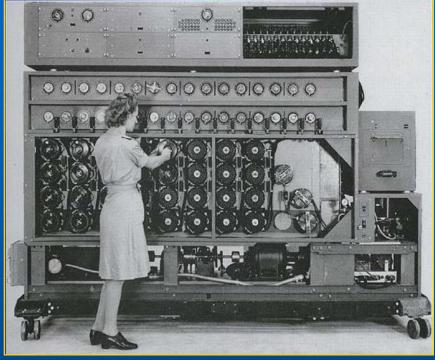


Photo credit: NCM, Ft. Meade, "Solving the Enigma"

- Tiolo credit. Godrey Argent Studio, via The Royal Society
  - Poles named their electro-mechanical codebreaker "Bomba,"
     British used "Bombe" in honor of Polish contribution
  - British exploited cribs vs Poles exploit of double message key
  - 211 UK Bombes were built, most were destroyed after WW2
  - US employed NCR to build a faster version of the Bombe to decode the 4-rotor naval Enigma - 121 were built

### Colossus computer



**Lorenz SZ-42 cipher** 



Photo credit: Ralph Simpson, device at NCM, Ft. Meade, MD

- Colossus world's telectionic, progratiable, digital computer
- Uses 2400 vacuum and
- Colossus breaks Lorenz teletype cipher, not Enigma
- Lorenz cipher used for high level messages

### **U-boat peril**

- Before the US entered the war,U-boats sank 60 ships/month
- U-boats roamed freely, then formed "wolfpacks" to sink convoys efficiently
- Nazis expected a UK blockade to result in a quick surrender
- Naval Enigma was initially the same as the Army, but later a 4-rotor version was used with more rigorous procedures
- Naval Enigma messages were secure until May 1941

"The only thing that ever really frightened me during the war was the U-boat peril."

- Winston Churchill

U-boat sinks an English freighter, from a German book published during WWII



Photo credit: Naval History and Heritage Command

### Capture of U-110

#### **U-110 Captain Fritz-Julius Lemp**



Photo credit: reibert.info

- First code books captured from a U-boat was on May 9, 1941
- Captain died trying to scuttle U-110
- Germans didn't know 3 months of codes were stolen, by Lt. Balme
- 5 ships, from 1 Enigma message, were sunk on June 3 & 4, 1941
- U-110 capture was the turning point in the Battle of the Atlantic

#### Lt. David Balme on deck of HMS Bulldog



Photo credit: forces.net

#### **Balme leads boarding party to captured U-110**



UK sailors on deck of U-110



Photo credit: uboatarchive.net

Photo credit: uboatarchive.net

### **Battle of the Atlantic**

- After breaking Naval
   Enigma, the British
   continuously re-routed
   convoys to avoid U-boats
- Unarmed weather trawlers carried Enigma, a recurring target for more code books
- British targeted supply ships and mother U-boats
- Early U-boat success turned to failure, 725 of

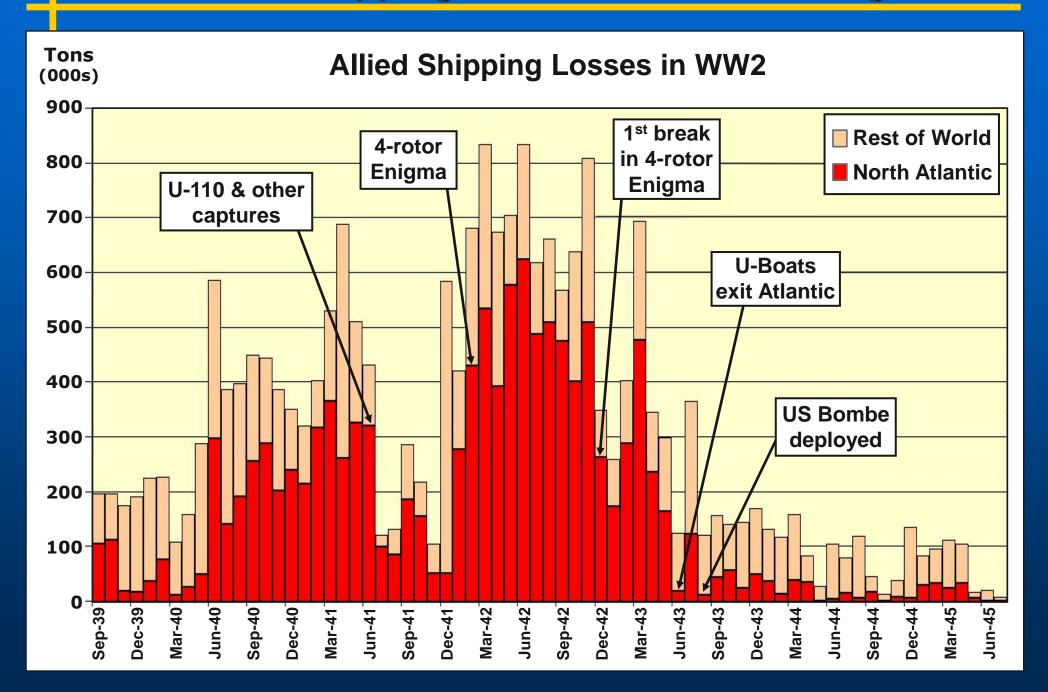
**US** bombing of U-117 – Aug. 1943



Photo credit: US National Archives

- 1155 U-boats and 82% of 35,000 sailors never returned from sea
- Some estimate breaking the Enigma shortened WW2 by 2 years

### Allied shipping losses vs codebreaking



### Did Germans know Enigma was broken?

- Allies only exploited Enigma messages after deception of traditional sources: (spotter planes, spies, etc.)
- But, Allied codebreaking should have been suspected:
  - 5 ships, from one Enigma message, all sunk in 2 days!

# Admiral Dönitz inspects U-boat at Saint-Nazaire, France



Photo credit: Bundesarchiv

- Supply convoy for Gen. Rommel in Africa found and sunk, despite continuous cloud cover from Naples to Africa
- Was 4-rotor Enigma designed to counter UK codebreaking?
  - No, more likely security from other Nazi military or spies
  - Confirmed in interview with Admiral Dönitz in 1974

### **Enigma after WW2**

- Codebreaking success was kept secret for 41 years, until 1974, despite thousands who knew the secret in the US and UK
- US and UK encouraged use of Enigma by other countries, including Allies, reading their secret messages for 3 decades
- About 35,000 Enigmas were manufactured
- Today, about 380 Enigma machines are known to exist, half in museums, half in private collections



David Hatch, NSA Historian, tells story of US Navy missile test, sinking "pallets" of Enigma machines

(pallet = 150 Enigmas = 2 tons)

Photo credit: US Navy release

### **Enigma prices**

Enigma prices doubled after release of movie, "The Imitation Game" on Christmas, 2014

 In June 2017, a professor of cryptology found a "typewriter" in a Romanian flea market Benedict Cumberbatch operates Enigma from movie, "The Imitation Game"



Photo credit: StudioCanal

- He knew it was an Enigma and bought it for 100 Euros
- Immediately sold on Romanian auction site for 45,000 Euros
- Sold 4 months later in US by Rau Antiques for \$245,000
- Rarity plus interest generate record prices at auction:
  - \$441K for a 3-rotor Enigma at Sothebys on 4/30/21
  - \$860K for a 4-rotor Enigma at Sothebys on 12/17/19

Cracking the Enigma

### **Download this presentation**

### CipherHistory.com/enigma.pptx

**Cipher History Museum** 

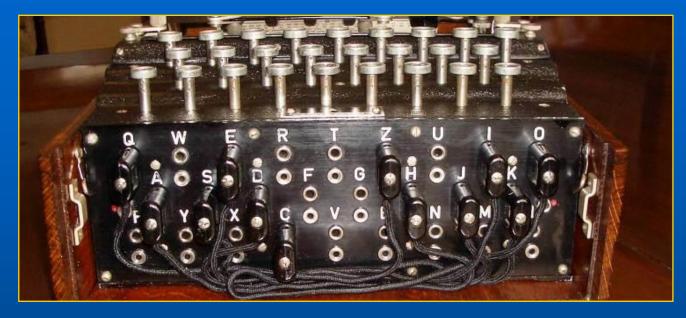


### Addendum

The following pages show the mathematics of the Enigma key space, both theoretically and as implemented by the Nazis

### Plugboard settings

 The German military addition of the plugboard added more key space than the rotors



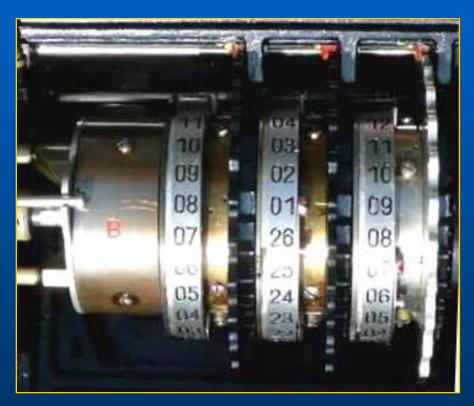
- The # of possible plugboard settings is a function of 3 variables:
  - 1. # plugboard cables, p, can be from 0 to 13
  - 2. # of groupings of possible plugged letters (2p letters out of 26) = 26! / ((2p!) X (26-2p)!)
  - 3. # interconnections of letters within each group of plugged letters chosen from #2 = (2p-1) X (2p-3) X (2p-5) X ...X 1
- The 3 items above are calculated on the next slide

## Plugboard settings

1. # plugboard cables	2. # groupings of plugged letters	3. # interconnections for each set of plugged letters	Total # possible settings
	26! / ((2p!) X (26-2p)!)	(2p-1) X (2p-3) X (2p-5) XX 1	(column 2) X (column 3)
0	1	1	1
1	325	1	325
2	14,950	3	44,850
3	230,230	15	3,453,450
4	1,562,275	105	164,038,875
5	5,311,735	945	5,019,589,575
6	9,657,700	10,395	100,391,791,500
7	9,657,700	135,135	1,305,093,289,500
8	5,311,735	2,027,025	10,767,019,638,375
9	1,562,275	34,459,425	53,835,098,191,875
10	230,230	654,729,075	150,738,274,937,250
11	14,950	13,749,310,575	205,552,193,096,250
12	325	316,234,143,225	102,776,096,548,125
13	1	7,905,853,580,625	7,905,853,580,625
Total			532,985,208,200,576

### Rotor settings

- The internal wiring of each rotor could be arranged in 26! different combinations. Since only 3 rotors are used, the number of combinations when selecting 3 unique rotors out of 26! is:
  - 26! X (26!-1) X (26!-2) =
    65,592,937,459,144,468,
    297,405,473,480,371,753,615,
    896,841,298,988,710,328,553,
    805,190,043,271,168,000,000
- Each of the 3 rotors could be set to any letter:
  - 26 X 26 X 26 = 17,576



- The rotors advance like an odometer, the setting to enable this is a notch set to any letter of the alphabet:
  - 26 X 26 = 676 (Note: notch on left-most rotor has no effect)

### Reflector settings

- The reflector scrambles the letters in pairs so it could encrypt or decrypt with the same setting
- The letter "A" could be switched to any of the 25 remaining letters, the next letter could be switched to any of the 23 remaining letters, and so on
- Notice this result is the same
   as using 13 plugboard cables,
   since all letters are paired (see chart on page 23)
  - 25 X 23 X 21 X ... X 1 = 7,905,853,580,625



### Total theoretical number of settings

- The total theoretical number of Enigma settings is thus the product of the 5 items on the previous 3 slides, or...
  - 3,283,883,513,796,974,198,700,882,069,882,752,878,
     379,955,261,095,623,685,444,055,315,226,006,433,615,
     627,409,666,933,182,371,154,802,769,920,000,000,000
  - Or 3.28 X 10<sup>114</sup>
- This number is far greater than the total number of atoms in the observable universe (10<sup>80</sup>)

Webb Space Telescope view of cartwheel and spiral galaxies

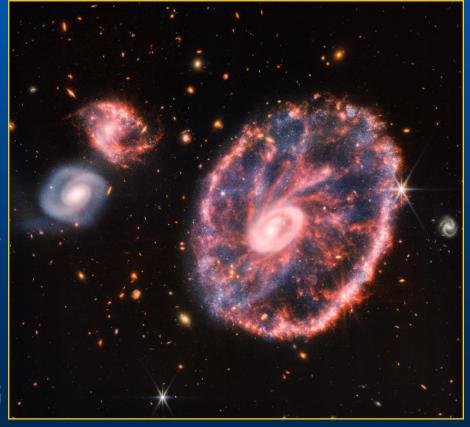


Photo credit: NASA, ESA, CSA, STScI

### Theory vs. practice

- The theoretical number of Enigma settings was not achieved in practice by the Germans, the number of settings the Allied Forces encountered for the standard 3-rotor Enigma:
  - 10 plugboard cables were always used, reducing errors and the possible combinations to 150,738,274,937,250
  - Only 5 fixed rotors were issued out of 26! possibilities. Since the wiring was known, selecting 3 out of 5 is 5 X 4 X 3 = 60
  - The initial settings of the rotors and the positions of the notches remain the same at 17,576 and 676
  - Reflector setting was known and remained unchanged = 1
  - The product of the above numbers is:
     107,458,687,327,250,619,360,000 or 1.07 X 10<sup>23</sup>
  - 1.07 X 10<sup>23</sup> is equivalent to a 76 bit key, better than 56 bit DES, the first PC standard in use until 2001

### Enigma codebreaking example

- Germans considered the Enigma to be unbreakable
- Before computers, a brute force attack was impossible:
  - To test 10<sup>23</sup> key settings:

If 100,000 Enigma operators could each check one key setting every second, 24X7...

It would take twice the age of the universe to break the code!

- Each U-boat, Air Force, and Army unit had separate keys, which changed daily!
- The British Bombe did not perform brute force attacks but searched for possible cribs to decode the rotors only
- The plugboard, which gave more key space than the rotors, was manually, and easily, decoded